

# Using Change in Tumor Size as Primary Endpoint in Oncology Phase II studies

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## Background

**Small non-randomised Phase II trials have been the norm for the development of many Oncology compounds**

- These smalls trials have been associated with unreliable evidence to move to Phase III
- Major criticism is that the interpretation relies on comparing results to an historical control

**The use of Objective Response Rate (ORR) or Progression-Free Survival (PFS) as primary endpoint has been questioned [1]**

- Both ORR and PFS are based on RECIST criteria for tumor response evaluation, which consists in categorizing the change in tumor size observed
- Using these endpoints, too many patients would be required to establish dose-response relationships or to compare alternative schedules
- Trials using PFS often result in lengthy trials preventing rapid decision making

**Change in tumor size (CTS) from baseline has been proposed to be used as the primary endpoint in Phase II studies [2, 3]**

- CTS as a continuous longitudinal endpoint can be considered as a biomarker for drug effect in early clinical studies
- The use of this continuous patient-level endpoint rather than categorizing the changes is more sensitive in assessing treatment effect
- Randomized studies to assess dose-response, optimal scheduling can therefore be envisaged

## Time to Event Model for PFS

Lognormal distribution has the best fit

	Est.	Std.Err.	95% LCL	95% UCL	z-value	p-value
(Intercept)	1.495	0.160	1.182	1.808	9.35	0.0000
CTS	-0.915	0.126	-1.162	-0.668	-7.25	0.0000
ECOG	-0.321	0.172	-0.658	0.016	-1.87	0.0620
Baseline	-0.00539	0.00181	-0.00893	-0.00185	-2.98	0.0029

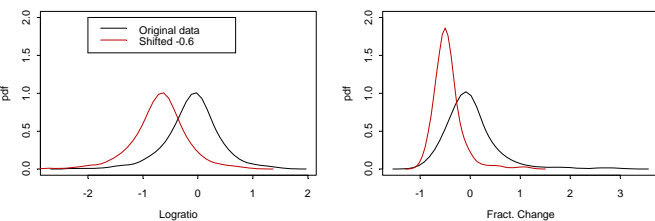
Gaussian distribution: Dispersion (scale) = 0.868  
Observations: 173 Total; 17 Censored

**Factors associated with an improvement in PFS:**

- Tumor Shrinkage
- Decrease in baseline tumor size
- ECOG Performance Status (0 vs. 1)

## Log-Ratio for the Investigational Treatment

The distribution is shifted to the left to reach a 50% improvement in PFS



Note: Most of shifted CTS are below 0 (tumor shrinkage)

## Conclusion

**A time to event model was developed to predict PFS based on observed fractional change and patients characteristics.**

**Simulations showed that at least 60% tumor shrinkage should be achieved to observe 50% PFS improvement (a relevant clinical outcome).**

**Trial simulations of an investigational treatment versus docetaxel, with 120 patients using a 2:1 randomization, demonstrated that:**

- Using change in tumor size (log-ratio test) as an endpoint is more efficient than PFS
- The power to detect a 2-month improvement in PFS is 60% with the log-rank test and 100% using the log-ratio test.
- A 1 month improvement in PFS may be shown using the log-ratio test.

**The model can be used to support interim futility analysis in a Phase II studies.**

**A disease-specific survival model can also be used to make inference on expected survival of the investigational treatment and to support go-no go decisions and Phase III study design [5, 6].**

**Using change in tumor size as a primary endpoint in Phase II oncology studies is an excellent alternative to the commonly used endpoints.**

## Objectives

- To develop a model for PFS in 2nd line NSCLC
- To assess CTS associated with a 50% increase in PFS (a clinically meaningful outcome)
- To assess the potential gain in efficiency in using relative CTS from baseline at first assessment (4-9 weeks after start of treatment) as opposed to PFS as a primary endpoint in Phase II studies of new oncology treatments using a simulation approach

## Methods

**Data: Phase III of docetaxel vs. pemetrexed [4]**

- N=225 with ECOG 0 or 1 with at least one tumor measurement in docetaxel arm
- 23 early dropout (10.2 %)
- N=173 with CTS at visit 2 (median = 33 days) were used to build the model

**Model: A parametric time to event model for PFS as a function of CTS (change in tumor size) and other prognostic factors was developed**

- Weibull, exponential, normal, lognormal, logistic, loglogistic distributions were tested to describe the distribution time to event (disease progression or death)
- S-Plus Censor Reg (version 6.2)

**Simulations: A randomized Phase II study of a new investigational treatment vs. docetaxel was simulated under various scenarios for the efficacy of the investigational treatment**

- PFS model was used to assess the CTS required to achieve the desired efficacy goals in term of PFS
- Multiple replicates (n=1000) of study design (120 patients in a 2:1 randomisation) were simulated and study performance (% successful trials) was assessed to compare design and endpoints
- A Log-rank test was used to compare PFS and a t-test was used on the log ratio, assumed to be normally distributed (2)

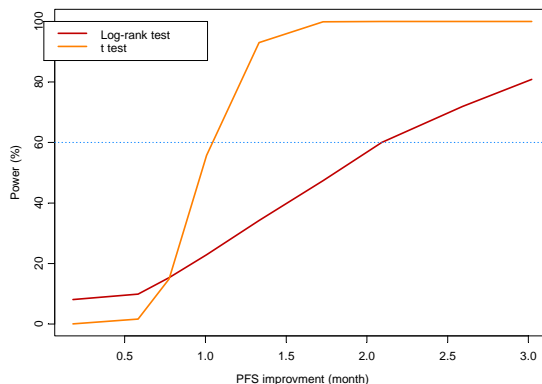
## Simulations Outcome

**If the investigational treatment would result in a 50% increase in PFS (delta FC: -0.6, 2.1 months)...**

The power of a 120-patient randomized Phase II (2:1 randomization) would be 60% based on PFS and 100% based on CTS.

Delta logratio	Mean Delta CTS	Logrank test Power	Log-Ratio Test Power	Docetaxel 2.5%PI	Docetaxel Median	Docetaxel 97.5%PI	Investigational treatment 2.5%PI	Investigational treatment Median	Investigational treatment 97.5%PI
-1.6	-0.8	80.8	100.0	2.56	3.23	4.85	4.55	6.25	8.59
-1.2	-0.7	71.9	100.0	2.56	3.23	4.84	4.26	5.82	7.72
-0.9	-0.6	60.0	100.0	2.56	3.24	4.72	3.98	5.33	7.10
-0.7	-0.5	47.4	99.9	2.58	3.21	4.75	3.76	4.94	6.63
-0.5	-0.4	34.2	93.0	2.56	3.21	4.75	3.48	4.54	6.10
-0.4	-0.3	22.9	55.7	2.58	3.23	4.73	3.14	4.24	5.62
-0.2	-0.2	15.3	14.9	2.57	3.21	4.78	2.97	3.98	5.18
-0.1	-0.1	9.8	1.6	2.56	3.19	4.71	2.86	3.78	4.79
0.0	0	8.1	0.1	2.56	3.21	4.75	2.73	3.39	4.45

**In all simulated scenarios, CTS was always more efficient than PFS (greater power with less patients).**



## References

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